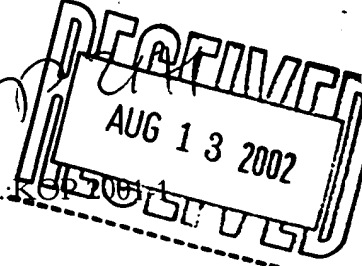


Attorney Docket No. 10000001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: *Dunkeld et al.*

Serial No.: 10/01325

Filed: 12/10/2001

For: *System & Method for Unique Digital Asset
Identification & Transaction Management*



Art Unit: 2171

Examiner: *Not Assigned*

RECEIVED

APR 05 2002

Technology Center 2400

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED
MAR 25 2002
Technology Center 2100

Sir:

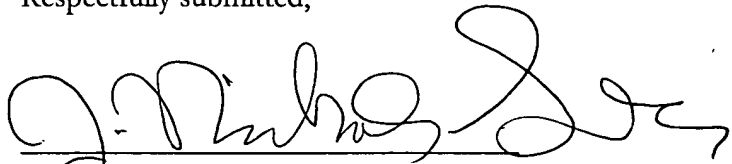
1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. Regarding the document(s), publication(s) or other information listed on the attached PTO-1449, Applicant believes the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information cited herein.

2. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed within 3 months of the filing date.

3. Copies of US Patent Nos. 6,253,193; 6,237,786; 5,982,891; 5,949,876; 5,915,019; 5,910,987 are not included herein, as they are all divisionals or continuations of US Patent No. 5,917,912. A copy of the latter nonetheless is included for the Examiner's benefit. As these documents all share a common specification and drawings, it is believed that submission of actual

copies is not necessary because it would be simply cumulative.

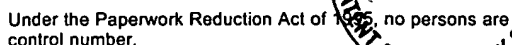
Respectfully submitted,



J. Nicholas Gross
Registration No. 34,175
Attorney for Applicant(s)

March 11, 2002
~~February 13, 2002~~
1385 Mission St.
Suite 240
San Francisco, CA 94103
Tel. (415) 551-8298

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid,
to the Commissioner of Patents and Trademarks, this ~~13th day of February~~ 2002.
11th day of March



Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
We will not respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/016,325
Filing Date	12/10/2001
First Named Inventor	Dunkeld
Art Unit	2171
Examiner Name	NA
Attorney Docket Number	KOP 2001-

RECEIVED

APR 05 2002

Technology Center 2600

U.S. PATENT DOCUMENTS

Examiner Initials ²	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number- Kind Code ² (if known)			
		US 2001/0041989 A1	11-15-2001	Vilcauskas, JR. et al	
		US 2001/0039497 A1	11-8-2001	Hubbard	
		US 2001/0038610 A1	11-8-2001	Decker et al.	
		US 2001/0025341 A1	09-27-2001	Marshall	
		US 2001/0021926 A1	09-13-2001	Schneck et al.	
		US 6,289,450 B1	09/11/2001	Pensak et al.	
		US 6,289,108 B1	09/11/2001	Rhoads	
		US 2001/0019618 A1	09-06-2001	Rhoads	
		US 6,266,654 B1	07/24/2001	Schull	
		US 6,266,430 B1	07/24/2001	Rhoads	
		US 2001/0008557 A1	07-19-2001	Stefik et al.	
		US 2001/0005423 A1	06-28-2001	Rhoads	
		US 6,253,193 B1	06/26/2001	Ginter et al.	
		US 2001/0004736 A1	06-21-2001	Hirano et al.	
		US 6,247,133 B1	06/12/2001	Palage et al.	
		US 6,240,185 B1	05/29/2001	Van Wie et al.	
		US 6,237,786 B1	05/29/2001	Ginter et al.	
		US 6,233,684 B1	05/15/2001	Stefik et al.	
		US 6,182,218 B1	01/30/2001	Saito	
		US 6,115,818	09/05/2000	Barton	

RECEIVED
MAR 25 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

[illegible]

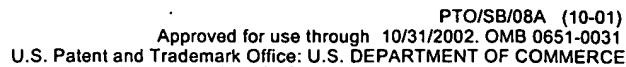
Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	10/016,325	RECEIVED
Filing Date	12/10/2001	
First Named Inventor	Dunkeld	APR 05 2002
Art Unit	2171	
Examiner Name	NA	Technology Center 2
Attorney Docket Number	KOP 2001-1	

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

English Language Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**